



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ronald L. Rivest et al.

Title:

Micropayment Processing

Method and System

Appl. No.:

10/553,611

Filing Date:

October 18, 2005

Examiner:

Unknown

Art Unit:

Unknown

Confirmation

1989

Number:

PETITION UNDER 37 CFR § 1.47(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated July 24, 2006, Applicants hereby petition as follows.

In accordance with MPEP § 409.03(a), the declaration of available joint inventors, Ronald L. Rivest, Silvio Micali, Joseph Bergeron III and Mark Bates, as required by 37 C.F.R. § 1.64, is submitted herewith.

In accordance with MPEP § 409.03(d), Robert Nix, one of the available joint inventors of the available joint inventors of the available point inventors of the available joint inventors available joint inventors of the facts relating to effort made to obtain the signed declaration of joint, non-signing inventors Prasad Jonnalagadda, Perry

Solomon and Robert Carney.

In accordance with MPEP § 409.03(e), the last known addresses of the joint inventors are stated in the Declaration of Robert Nix filed herewith.

The petition fee of \$130.00 set forth in 37 CFR § 1.17(i) is submitted herewith via Credit Card Payment Form. Applicants request that this Petition be granted under 37 C.F.R. § 1.47(a).

Respectfully submitted,

Date February 26, 2007

FOLEY & LARDNER LLP 111 Huntington Avenue Boston, Massachusetts 02199 Telephone: (617) 342-4034 Facsimile: (617) 342-4001 David J. Rikkers Attorney for Applicant Registration No. 43,882

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DECLARATION OF ROBERT NIX FILED IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

- I, Robert Nix, to the best of my knowledge and belief, do hereby state as follows:
- 1. I am a joint inventor of the above-referenced application with Ronald L. Rivest, Silvio Micali, Perry Solomon, Robert Carney, Prasad Jonnalagadda, Joseph Bergeron III, and Mark Bates.
 - 2. I signed an inventors' declaration for the above-referenced application.
- 3. Ronald L. Rivest, Silvio Micali, Joseph Bergeron III and Mark Bates signed the inventors' declaration for the above-referenced application.
- 4. I customarily communicate via e-mail with the inventors for which executed inventors' declarations have not been received.

- 5. I forwarded an assignment document related the present application to Prasad Jonnalagadda, Perry Solomon and Robert Carney on January 31, 2007 via e-mail.
- 6. Prasad Johnalagadda replied on February 16, 2007 to my January 31, 2007 e-mail, indicating that he would provide a signed assignment document. I have not received an assignment document from Prasad Johnalagadda.
- 7. Perry Solomon replied on February 14, 2007 to my January 31, 2007 e-mail, indicating that he would provide a signed assignment document. I have not received an assignment document from Perry Solomon.
- 8. Robert Carney replied on February 5, 2007 to my January 31, 2007 e-mail, indicating that he would provide a signed assignment document. I have not received an assignment document from Robert Carney.
- 9. I forwarded the inventors' declaration to Prasad Jonnalagadda, Perry Solomon, and Robert Carney on February 16, 2007 via e-mail. See attached Exhibits A-C.
- 10. I received a reply from Prasad Jonnalagadda on February 16, 2007 to my February 16, 2007 e-mail, indicating that the form will be returned, but the signed inventors' declaration has not been received. See attached Exhibit D.
- 11. In accordance with MPEP § 409.03(e), the last known addresses of the nonsigning joint inventors are:

Prasad Jonnalagadda: pjonnalagadda@hotmail.com; 459 Chestnut Hill Avenue, Brookline, Massachusetts 02146

Perry Solomon; perry.solomon@fastsearch.com; 310 2d St., Apt 1D Brooklyn, NY 11215

Robert Carney; rwcarney@gmail.com; 15 Newman Street, Cambridge, Massachusetts 02140

- 12. I hereby state that as of today, February 26, 2007, I have not received an executed inventors' declaration from Prasad Jonnalagadda, Perry Solomon and Robert Carney.
- 13. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of any application or patent issued therein.

Respectfully submitted,

Date: February 26, 2007

Røbert Nix



From:

Robert Nix [rnix@peppercoin.com]

Sent:

Friday, February 16, 2007 4:47 PM

To:

pjonnalagadda@hotmail.com

Cc:

Lappin, Mark G.; Rikkers, David J.

Subject:

RE: Peppercoin patent assignment documents

Attachments: PEPL-0112 Assignment.DOC; PEPL-0112 Declaration_Power of Attorney.DOC

Prasad,

I apologize for bothering you again, but we had a bit of mix-up between Peppercoin and our patent law firm. We actually needed to have two documents signed for this patent -- and not only did I send you only one of the two documents but I sent you the one that did not have a near-term deadline.

I have attached two documents related to patent PEPL-0112, where you are listed as a coinventor. Please sign and fax the documents to Peppercoin, or email a scanned copy. If it is convenient for you to have these documents notarized, then please do -- but if rounding up a notary is not convenient for you then please don't bother with the notary.

Due to the mix-up, we are now in quite a rush, and need these documents by the end of next week, February 23.

Peppercoin's fax number is 781-890-4636.

Sorry for bothering you again, Bob Nix Peppercoin 781-890-3800x219 rnix@peppercoin.com

<<PEPL-0112 Assignment.DOC>> <<PEPL-0112 Declaration Power of Attorney.DOC>>



From:

Robert Nix [rnix@peppercoin.com]

Sent:

Friday, February 16, 2007 4:44 PM

To:

perry.solomon@fastsearch.com

Cc:

Lappin, Mark G.; Rikkers, David J.

Subject:

Peppercoin patent assignment documents

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Perry,

I apologize for bothering you again, but we had a bit of mix-up between Peppercoin and our patent law firm. We actually needed to have two documents signed for this patent -- and not only did I send you only one of the two documents but I sent you the one that did not have a near-term deadline.

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Sorry for bothering you again, Bob Nix Peppercoin 781-890-3800x219 rnix@peppercoin.com

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From:

Robert Nix [rnix@peppercoin.com]

Sent:

Friday, February 16, 2007 4:43 PM

To:

Robert Nix; rwcarney@gmail.com

Cc:

Lappin, Mark G.; Rikkers, David J.

Subject:

RE: Peppercoin patent assignment documents

Attachments: PEPL-0112 Assignment.DOC; PEPL-0112 Declaration_Power of Attorney.DOC

Rob,

I apologize for bothering you again, but we had a bit of mix-up between Peppercoin and our patent law firm. We actually needed to have two documents signed for this patent -- and not only did I send you only one of the two documents but I sent you the one that did not have a near-term deadline.

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Sorry for bothering you again, Bob Nix Peppercoin 781-890-3800x219 rnix@peppercoin.com

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From: Robert Nix [rnix@peppercoin.com]

Sent: Monday, February 26, 2007 1:50 PM

To: Emerson, Kate

Subject: FW: Peppercoin patent assignment documents

I received this response from Prasad Jonnalagadda, but no documents by today.

--Bob

From: Prasad Jonnalagadda [mailto:pjonnalagadda@hotmail.com]

Sent: Friday, February 16, 2007 8:45 PM

To: Robert Nix

Cc: Lappin, Mark G.; Rikkers, David J.

Subject: RE: Peppercoin patent assignment documents

Hi Bob,

I will fill up these forms and send it to you.

Thanks, Prasad

Subject: RE: Peppercoin patent assignment documents

Date: Fri, 16 Feb 2007 16:46:44 -0500

From: rnix@peppercoin.com
To: pjonnalagadda@hotmail.com

CC: MLappin@foley.com; DRikkers@foley.com

Prasad,

I apologize for bothering you again, but we had a bit of mix-up between Peppercoin and our patent law firm. We actually needed to have two documents signed for this patent -- and not only did I send you only one of the two documents but I sent you the one that did not have a near-term deadline.

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Sorry for bothering you again,

Bob Nix

Peppercoin

781-890-3800x219

rnix@peppercoin.com

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Find a local pizza place, movie theater, and more....then map the best route! $\underline{\text{Try it!}}$